

## CLIENT IDENTIFICATION FORM LEGAL ENTITY

### A. Data on corporate or other legal entity

Company's name:	
Registered office (address, place, post office):	
Registration number:	
Tax ID number:	
Principal activity:	
Telephone number.:	
Fax number:	
Transaction account No. and SWIFT/BIC:	

### B. Data on legal representative

Name and surname:	
Permanent address:	
Temporary residence address:	
Date and place of birth:	
Tax ID number:	
Nationality:	
Type, number and issuer of identification document:	
Validity of identification document (valid through):	
Mandate (from/till):	
Politically exposed person <sup>1</sup> :	<input type="checkbox"/> yes <input type="checkbox"/> no

### C. Data on authorised representative

If the legal representative is not present during the identification process and the business relationship is to be concluded by its authorised representative a certified power of attorney has to be presented to the Bank.

Name and surname:	
Permanent address:	
Temporary residence address:	
Date and place of birth:	
Tax ID number:	
Nationality:	
Type, number and issuer of identification document: :	
Validity of identification document (valid through):	
Issue date and validity of the power of attorney:	
Politically exposed person <sup>1</sup> :	<input type="checkbox"/> yes <input type="checkbox"/> no

<sup>1</sup> Please indicate whether the client is a PEP or not.

**D. Data on beneficial owner <sup>2</sup> of the legal entity**
**a) The legal entity has the beneficial owner(s):**
**Natural persons:**

Name and surname:	
Permanent address:	
Temporary residence address:	
Date of birth:	
Nationality:	
Type, number and issuer of identification document:	
Validity of identification document (valid through):	
Amount of the ownership share:	
Politically exposed person <sup>1</sup> :	<input type="checkbox"/> yes <input type="checkbox"/> no

Name and surname:	
Permanent address:	
Temporary residence address:	
Date of birth:	
Nationality:	
Type, number and issuer of identification document:	
Validity of identification document (valid through):	
Amount of the ownership share:	
Politically exposed person <sup>1</sup> :	<input type="checkbox"/> yes <input type="checkbox"/> no

Name and surname:	
Permanent address:	
Temporary residence address:	
Date of birth:	
Nationality:	
Type, number and issuer of identification	

<sup>2</sup> **Beneficial owner is:**

- a) any natural person who:
  - holds, directly or indirectly, more than 25% of business share, shares, voting or other rights, based on which they participate in the governance of the company, or
  - participates, directly or indirectly, in the equity of the company with more than 25% of business share, or
  - holds a controlling position in the management of the company assets;
- b) any natural person who indirectly provides or is providing assets to the company and, as such, has the capacity to control, steer or substantially influence the decisions taken by the company's management in relation to financing and operations.

Beneficial owner of a foreign fund, foreign establishment or a similar legal entity of foreign law who accepts, manages or distributes assets for a specific purpose shall be deemed to be:

- a) any natural person who:
  - is the founder of a foreign fund, foreign establishment or similar legal entities of foreign law;
  - is the trustee of a foreign fund, foreign establishment or similar legal entities of foreign law;
  - is the recipient of benefits arising from the assets managed, provided that future beneficiaries have already been identified or are identifiable;
  - a possible protector who has been appointed for the representation and protection of the interests of asset beneficiaries;
- b) a category of persons in whose interest it is to establish a foreign fund, foreign establishment or a similar legal entity of foreign law if the individuals who will be beneficiaries of the foreign fund, foreign establishment or a similar legal entity of foreign law are yet to be identified;
- c) any other natural person who in some other way, directly or indirectly, controls the assets of a foreign fund, foreign establishment or a similar legal entity of foreign law.

document:	
Validity of identification document (valid through):	
Amount of the ownership share:	
Politically exposed person <sup>1</sup> :	<input type="checkbox"/> yes <input type="checkbox"/> no

**b) The legal entity does not have a beneficial owner(s):**

Provided that no person is identified as a beneficial owner of the company according to points "a" and "b" of footnote 2, it shall be deemed that the legal representative(s) of the company are the beneficial owner(s) of the company, provided that there is no reason to believe that money laundering or/and terrorism financing is associated with the named transaction, person, property or assets.<sup>3</sup>.

**c) The legal entity does not have shareholders:**

It shall be deemed that the beneficial owner of society, institute, political party, trade union, religious community or some other legal entity of which it is not possible to participate on the basis of shares or equity, is a natural person representing such an entity<sup>3</sup>.

**E. Politically exposed person<sup>4</sup>**

**STATEMENT ON POLITICAL EXPOSURE**

**a) LEGAL REPRESENTATIVE**

The undersigned, (name, surname) \_\_\_\_\_, hereby states that\*:

- a) **I am** a politically exposed person according to \_\_\_\_ and my mandate lasts from \_\_\_\_\_ to \_\_\_\_\_;
- b) **I am not** a politically exposed person and my mandate lasts from \_\_\_\_\_ to \_\_\_\_\_.  
\*(please circle appropriately)

<sup>3</sup> The data from section "B Legal representative" are to be taken into account.

<sup>4</sup> **A politically exposed person (PEP) is any natural person** who is or has been in the past year entrusted with a prominent public function in a Member State or third country, including their **immediate (close) family members** and **close associates**.

Natural persons who are or have been entrusted with a prominent public function are:

- a) heads of State, heads of government, ministers and deputy or assistant ministers;
- b) elected representatives of legislative bodies;
- c) members of the governing bodies of political parties;
- d) members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not subject to further appeal, save in exceptional circumstances;
- e) members of courts of auditors or of the boards of central banks;
- f) heads of diplomatic missions and consular posts and of representations of international organisations and their deputies, and high-ranking officers in the armed forces;
- g) members of management and supervisory bodies of enterprises in majority owned by the State;
- h) heads of the bodies of international organisations (such as chairpersons, secretaries general, directors, judges), their deputies and members of governing bodies or of the holders of equal functions in international organisations.

**Immediate (close) family members of a PEP** are: the spouse or cohabitee, parents and children and their spouses or cohabitees.

**Close associates of a PEP** are: all natural persons who are known to have joint beneficial ownership or any other close business relations with a politically exposed person. A close associate is also a natural person who has sole beneficial ownership of a legal entity or similar legal entity of foreign law which is known to have been set up for the benefit of the politically exposed person.

\_\_\_\_\_  
(signature legal/authorised representative)

\_\_\_\_\_  
(place and date)

**b) AUTHORISED REPRESENTATIVE**

The undersigned, (name, surname) \_\_\_\_\_,  
hereby states that\*:

a) **I am** a politically exposed person according to \_\_\_\_ and that my power of attorney is valid till \_\_\_\_\_;

b) **I am not** a politically exposed person and that my power of attorney is valid till \_\_\_\_\_.  
\*(please circle appropriately)

\_\_\_\_\_  
(signature authorised representative)

\_\_\_\_\_  
(place and date)

**c) BENEFICIAL OWNER**

The undersigned (name, surname) \_\_\_\_\_,  
hereby states that\*:

a) **beneficial owner is** a politically exposed person according to \_\_\_\_\_;

b) **beneficial owner is not** a politically exposed person.  
\*(please circle appropriately)

\_\_\_\_\_  
(signature legal/authorised representative)

\_\_\_\_\_  
(place and date)

**F. Data on a politically exposed person<sup>5</sup>**

Information about financial situation.

Information about the origin of assets/property that will be the subject of the business relationship.

**G. The reason for the establishment of a business relationship (to be completed by the Bank)**

Type of business relationship:

Securities transaction(s)	<input type="checkbox"/>	Bonds and guarantees	<input type="checkbox"/>
Deposit(s)	<input type="checkbox"/>	Risk - participation(s)	<input type="checkbox"/>

<sup>5</sup> To be completed only if PEP participates in the business relationship with his/hers assets/property. The documents relating to the financial situation and origin of property/assets (e.g. on surety) are to be submitted by the client. If the required information can not be obtained in the manner described, the client has to submit a signed written statement of its financial situation and origin of assets/property that is or will be the subject of the business relationship..

Financial derivative(s)

Credit(s)

Type and nature of the business relationship according to the Article 11 of the Slovene Export and Development Bank Act: \_\_\_\_\_.

### H. Transaction approved by (to be completed by the Bank)<sup>6</sup>

a) representative of senior management: \_\_\_\_\_  
(name and surname, function, date<sup>7</sup>)

b) board: \_\_\_\_\_  
(name of board, date of session)

### I. Signatories

Place and date

Place and date

Identification performed by:

Legal representative/Authorised signatory

\_\_\_\_\_  
(name and surname)

\_\_\_\_\_  
(name and surname)

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(signature)

(stamp<sup>8</sup>)

<sup>6</sup> To be completed only if a PEP participates in the business relationship.

<sup>7</sup> The date of approval must always precede the date of the conclusion of the transaction.

<sup>8</sup> If the client uses a stamp in legal transactions; otherwise it is not necessary.